Dkt. 1584/65397

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Randy PRAGER, Peter SPARAGO, Stephen MARCAURELE and David **GELERNTER**

Serial No.: 09/892,385

Group Art Unit: 2177

Filed: June 26, 2001

Examiner: Kuen S. Lu

For: DESKTOP, STREAM-BASED, INFORMATION MANAGEMENT SYSTEM

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

1185 Avenue of the Americas New York, N.Y. 10036 (212) 278-0400

OFFICE OF PETITIONS

HECEINED

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

date: 05/17/2005 AKELLEY MBERHE 00000013 033125 1020.00 CR

Paul Teng Reg. No. 40,837

09092383

Sir:

PETITION TO REVIVE UNINTENTIONALLY ABANDONED APPLICATION UNDER 37 C.F.R. §1.137(b)

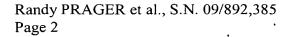
This Petition is submitted to revive the above-identified application under 37 C.F.R. §1.137(b).

An Office Action dated December 31, 2003 was issued by the United States Patent and Trademark Office (PTO) in connection with this application. A response to the December 31, 2003 Office Action was originally due March 31, 2004, with an extension of time of three month (i.e. until June 30, 2004) available.

A Notice Of Abandonment dated October 29, 2004 was issued by the PTO, indicating that this application became abandoned because no reply was received by the PTO to the December 31, 2003 Office Action. A copy of the October 29, 2004 Notice Of Abandonment is attached as Exhibit A hereto.

Since this Petition under 37 C.F.R. §1.137(b) is being submitted within two months of the

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October 29, 2004 Notice Of Abandonment, the Petition is being timely filed.

Requirements of Petition to Revive

A petition under 37 C.F.R. §1.137(b) must be accompanied by:

- (1) the required reply, unless it has been previously filed;
- (2) the petition fee set forth in 37 C.F.R. §1.17(m);
- (3) a statement that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to this paragraph was unintentional; and
- (4) any terminal disclaimer (and fee as set forth in §120(d)) required pursuant to 37 C.F.R. §1.137 (c) for a utility application filed before June 8, 1995.

Required Reply

This Petition is being submitted concurrently with an Amendment in response to the December 31, 2003 Office Action and a Petition For Extension Of Time (of three months). Accordingly, it is believed that the required reply is being submitted herewith.

Petition Fee

The fee for a Petition under 37 C.F.R. §1.137(b) is \$1,500.00 and authorization is hereby given to charge the amount of this Petition fee to Deposit Account No. 03-3125. If any additional fee is required in connection with the filing of this Petition, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 03-3125.

Statement That Delay Was Unintentional

An Assignment of this application by Randy Prager, Peter Sparago, Stephen MarcAurele and David Gelernter to Mirror World Techologies, Inc., 121 Whitney Avenue, Suite 102, New Haven, Connecticut 06510 was recorded by the PTO on June 5, 2002 at Reel 12970, Frame 0979. A copy of the Notice Of Recordation issued by the PTO is attached as **Exhibit B** hereto.

A Declaration Of Lou Nemeth is attached as **Exhibit C** hereto, containing a statement that the entire delay in filing the required reply, i.e. response to the December 31, 2003 Office

Action, until the filing of a grantable petition pursuant to 37 C.F.R. §1.137(b), was unintentional.

Terminal Disclaimer

Because this application was filed after June 8, 1995, 37 C.F.R. §1.137(c) does not require any terminal disclaimer to be filed.

In view of the foregoing, Applicant earnestly solicits an expeditious revival of the subject application.

Respectfully submitted,

Paul Teng, Reg. No. 40,837

Attorney for Applicant Cooper & Dunham LLP

1185 Avenue of the Americas

New York, New York 10036

(212) 278-0400

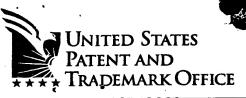
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	09/892,385	PRAGER ET AL	"-CEIV
Notice of Abandonment	TER 2 0 2004 Examiner	Art Unit	DEC 2 3 200
i Par	Kuen S Lu	2167 OF	ICE OF DE
The MAILING DATE of this commit	unication appears on the cover sheet with		dress- EIIII0
This application is abandoned in view of:		lon to Revive: 12/2	
 Applicant's failure to timely file a proper rep (a) A reply was received on (with a comperiod for reply (including a total extension) 	ly to the Office letter mailed on <u>31 December</u> Certificate of Mailing or Transmission dated to not time of month(s)) which expire	<u>r 2003</u> .), which is after the d d on	expiration of the
(b) A proposed reply was received on			
(A proper reply under 37 CFR 1.113 to a application in condition for allowance; (2 Continued Examination (RCE) in compli	a final rejection consists only of: (1) a timely a timely filed Notice of Appeal (with appea ance with 37 CFR 1.114).	filed amendment which plated if the filed in	Request for
(c) A reply was received on but it do final rejection. See 37 CFR 1.85(a) and	es not constitute a proper reply, or a bona fidential 1.111. (See explanation in box 7 below).	de attempt at a proper rèpi	y, to the non-
(d) No reply has been received.		NOV - 2 20	04
. Applicant's failure to timely pay the required from the mailing date of the Notice of Allows	d issue fee and publication fee, if applicable,		
(a) The issue fee and publication fee, if a	pplicable, was received on (with a Che statutory period for payment of the issue	Certificate of Mailing or Tra fee (and publication fee) s	ansmission dated et in the Notice of
(b) The submitted fee of \$ is insufficient	ent. A balance of \$ is due.		•
The issue fee required by 37 CFR 1.18	B is \$ The publication fee, if required	by 37 CFR 1.18(d), is \$	·
(c) \square The issue fee and publication fee, if app	licable, has not been received.		
. Applicant's failure to timely file corrected dra Allowability (PTO-37).	awings as required by, and within the three-r	month period set in, the No	tice of
(a) Proposed corrected drawings were rece after the expiration of the period for reply		or Transmission dated), which is
(b) No corrected drawings have been received	ved.		
The letter of express abandonment which is the applicants.	s signed by the attorney or agent of record, t	the assignee of the entire i	nterest, or all of
. The letter of express abandonment which is 1.34(a)) upon the filing of a continuing appli		representative capacity u	nder 37 CFR
. The decision by the Board of Patent Appeal of the decision has expired and there are no	is and interference rendered on and o allowed claims.	because the period for see	eking court review
. ☑ The reason(s) below:			
A telephone call was made to the Appli No confirmation or response on the pot	cant's representative (Ivan Kavrukov, Rential abandment of the application was	tegistration No. 25,161) s received.	on 10/28/2004.
: .·		Jula S Wassu	m-
etitions to revive under 37 CFR 1.137(a) or (b), or requirimize any negative effects on patent term.	uests to withdraw the holding of abandonment ur	nder 37 CFR 1.181, should be	promptly filed to
. Patent and Trademark Office			



United States Patent and Trademark Office

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/892,385	06/26/2001	Randy Prager	1584/65397	2918
23432	7590 10/29/2004		· EXAMINER	
COOPER & DUNHAM, LLP 1185 AVENUE OF THE AMERICAS NEW YORK, NY 10036			LU, KI	JEŅ S
			ART UNIT	PAPER NUMBER
			2167	
			DATE MAILED: 10/29/200-	4

Please find below and/or attached an Office communication concerning this application or proceeding.



COOPER & DUNHAM LLP

IVAN S. KAVRUKOV, ESQ.

NEW YORK, N.Y. 10036

1185 AVENUE OF THE AMERICAS AUG 1 9 2002

PTAS

OFFICE OF PETITIONS

AUGUST 12, 2002

Chief Information Officer Washington, DC 20231 www.uspto.gov



UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/05/2002

REEL/FRAME: 012970/0979

NUMBER OF PAGES: 4

ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS). BRIEF:

ASSIGNOR:

PRAGER, RANDY

DOC DATE: 04/23/2002

ASSIGNOR:

SPARAGO, PETER

DOC DATE: 04/23/2002

ASSIGNOR:

MARCAURELE, STEPHEN

DOC DATE: 04/23/2002

ASSIGNOR:

GELERNTER, DAVID

DOC DATE: 04/22/2002

ASSIGNEE:

MIRROR WORLDS TECHNOLOGIES, INC. 121 WHITNEY AVENUE, SUITE 102 NEW HAVEN, CONNECTICUT 06510

SERIAL NUMBER: 09892385

PATENT NUMBER:

FILING DATE: 06/26/2001

ISSUE DATE:



012970/0979 PAGE 2

ANTIONE ROYALL, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS



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For: DESKTOP, STREAM-BASED, INFORMATION MANAGEMENT SYSTEM

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SIR:

DECLARATION OF LOUNEMETH

I, Lou Nemeth, hereby declare that:

- 1. I currently reside at 799 Whitney Avenue, New Haven, Connecticut 06511.
- 2. For the time period of April, 2001 through May, 2004, I was Senior Vice President, Research and Development, at Mirror World Techologies, Inc., 121 Whitney Avenue, Suite 102, New Haven, Connecticut 06510 ("MWT"). Amongst my many duties, I, on behalf of MWT, interfaced with MWT's patent counse.
- In or about January, 2004, I received a copy of an Office Action dated December 31, 2003 issued by the United States Patent and Trademark Office in connection with the above-identified application. After receiving the December 31, 2003 Office Action, I intended to provide Applicant's patent attorneys with instructions for responding to the Office Action. It is my understanding now that a response to the December 31, 2003 Office Action was originally due March 31, 2004.

Randy PRAGER et al., S.N. 09/892,385 Page 2

- 4. However, I inadvertently lost track of the due date for responding to the December 31, 2003 Office Action, and unintentionally did not provide Applicant's patent attorneys with instructions for responding to the Office Action, before the due date for responding to the Office Action.
- 5. The entire delay in filing Applicant's response to the December 31, 2003 Office Action, upto the filing of the petition with which this Declaration is being submitted concurrently to revive the application, was unintentional.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: 12/16/04

Lou Nemeth